

# Horizon Securities Co., Ltd.

## Meeting Notice of 2024 Annual General Shareholders' Meeting

- I. It is hereby scheduled to hold the 2024 annual general meeting of shareholders at 9:00 a.m. on May 27, 2024, which will be held on the 7th floor of No. 236, Section 4, Xinyi Road, Taipei City (the 7th floor of the Company). The registration time for shareholders will start at 8:30 a.m., and the place of registration will be at the same location. The topics include:
- (I) Reports:
1. The Company's 2023 Business Report.
  2. Review of 2023 financial statements by the Audit Committee.
  3. Distribution of 2023 remuneration to employees and Directors.
  4. Distribution of cash dividends from the Company's earnings for 2023.
  5. The execution status of the Company's second issuance of domestic unsecured convertible corporate bonds.
  6. Report of the Company's private placement of common shares.
- (II) Proposals:
1. 2023 Business Report and Financial Statements.
  2. The Company's 2023 earnings allocation.
- (III) Extemporaneous Motions
- II. The shareholders' meeting will have video conference available. Shareholders who wish to attend the shareholders' meeting by teleconferencing shall register with the Taiwan Depository & Clearing Corporation's e-service platform (at <https://stockservices.tdcc.com.tw>) between April 27, 2024 and May 24, 2024. Proxy solicitors and proxies who wish to participate in the meeting through teleconferencing shall fill out the form designated for solicitors and proxies who wish to participate in the meeting by teleconferencing (please contact the shareholder services agency for the form), and deliver the form to the shareholder services agency (at 3rd Floor, No. 236, Section 4, Xinyi Road, Taipei City) before 4:00 p.m. between April 27, 2024 and May 24, 2024 (two days before the shareholders' meeting, or the previous business day if it is a holiday). On the day of the shareholder meeting, the check-in, live stream, questions in text and voting will be available. Checking in to the teleconferencing platform of the shareholders' meeting should be completed at least 30 minutes before the meeting starts, those who complete the check-in are considered to have attended the meeting in person. After the chairperson announces the start of the meeting, the shareholders who participate in the meeting through teleconferencing shall conduct voting before the chairperson announces the close of voting. Those who do not complete the voting before the announced ending time are considered abstention. Questions can be asked in written form on each motion, limited to two times per motion, and each question not exceeding 200 words. For platform-related operation instructions, please refer to the Taiwan Depository & Clearing Corporation's website "<https://www.tdcc.com.tw>". Please click on the "Shareholders' Meeting Video Conference Platform Section."
- III. If on the day of the shareholders' meeting, you encounter communication error or delay, or are unable to watch the live broadcast, ask questions, or exercise voting rights occurs due to the internet or related equipment issue at your location, the Company shall not be held responsible. Please exercise your voting rights electronically or attend the shareholders' meeting in person if you have any concerns.
- IV. If on the day of the shareholders' meeting, there are obstacles to the video conference platform or participation in the video conference due to natural disasters, accidents or other *force majeure* events, making it impossible to continue the meeting, the Company will postpone or call the meeting to 9:00 a.m. on May 28, 2024, held at the 7th Floor of No. 236, Section 4, Xinyi Road in Taipei City.
- V. In the event of a meeting postponement or resumption: 1. Shareholders who have not registered

to participate in the shareholders' meeting by teleconferencing shall not participate in the postponed or resumed meeting. 2. If on the day of the shareholders' meeting, there are obstacles to the video conference platform or participation in the video conference due to natural disasters, accidents or other *force majeure* events, and the issues cannot be resolved within 30 minutes, making it impossible to resume the shareholder teleconference meeting, and the total number of shares represented in attendance still meets the statutory quorum for the resolutions conducted after subtracting the number of shares that attended the meeting by teleconferencing, the meeting may still continue without needing a postponement or resumption. For shareholders who originally choose to attend the shareholder meeting by teleconferencing, the number of shares is counted in the total of shares of shareholders attending the meeting, but is considered abstention in all the motions presented in the meeting. 3. If the Company encounters the abovementioned obstacles, and the results of all motions have been announced but no extraordinary motions have been made, the chairperson may declare the meeting ended.

- VI. The reasons listed in Article 172 of the Company Act adopted by the Company in convening the shareholders' meeting can be found at the official website of the Market Observation Post System (<http://mops.twse.com.tw>). Please click on Basic Information, Electronic Books and select Annual Report and Shareholders' Meetings reference materials (including depository receipt information).
- VII. Pursuant to Article 165 of the Company Act: The transfer of stocks will be suspended from March 29, 2024 to May 27, 2024.
- VIII. Unless otherwise announced on the MOPS, the notification is sent via mail, attached with a shareholders meeting attendance card and a proxy form, one copy each. We sincerely appreciate your attendance at the meeting. If you entrust a proxy to attend the meeting, please fill in the relevant information of the proxy on the proxy form, and mail it to the Company's shareholder services agent, the Shareholder Services Department of Horizon Securities Co., Ltd. at least 5 days before the meeting. The attendance card will be given to your representative once it is prepared and produced by the Shareholder Services Department, with the register seal applied to the card, and can be used by the proxy to attend the general shareholders' meeting. Those attending the meeting in person shall bring Part I (signed or sealed) to the meeting in person.
- IX. Shareholders, solicitors and proxies shall bring identification documents for verification when attending the shareholders' meeting.
- X. For shareholders who apply for proxy solicitation, the Company will compile a summary of the information on the solicitors before April 26, 2024 and post it on the official website of the Securities and Futures Institute. Shareholders who wish to access the information can visit <http://free.sfi.org.tw> and enter the stock code into the "Free Proxy Inquiry System."
- XI. We have commissioned the Shareholder Services Department of Horizon Securities Co., Ltd. to handle matters related to statistical verification of this annual general meeting.
- XII. Shareholders may exercise their voting power by electronic transmission for this shareholders' meeting. The exercise period is: April 27, 2024 to May 24, 2024. Please visit "Shareholders E-Vote," the electronic voting platform built by the Taiwan Depository & Clearing Corporation. Please follow the user instructions when accessing the system (Website: <https://www.stockservices.tdcc.com.tw>).
- XIII. Please follow the instructions accordingly.

Sincerely,  
Esteemed Shareholders

The Board of Directors of Horizon Securities Co., Ltd.